

SCHEDULE A — Receipts of Fees and Commissions From Unaffiliated Foreign Persons for Financial Services

Complete the mandatory section of Schedule A for each type of financial service listed below if total sales to unaffiliated foreign persons of all types of financial services combined by all subsidiaries and parts of the consolidated U.S. enterprise that are financial services providers or intermediaries exceed \$10,000,000. The voluntary section is **requested** to be completed if total sales to unaffiliated foreign persons of all types of financial services combined by all subsidiaries and parts of the consolidated U.S. enterprise that are financial services providers or intermediaries are \$10,000,000 or less.

Enter the number associated with the type of financial service, instead of the complete title of the service, in the column heading of Schedule A. See V. of the **Instruction Booklet** for complete descriptions of the types of financial services covered.

Enter the names of countries not on the preprinted list, as appropriate, in the left hand margin of Schedule A. Report transactions with international organizations, including those domiciled in the United States; identify these transactions as being with "Int'l Org." Use additional copied sheets to list additional countries, as necessary.

Service number	Service
1	Brokerage services, including foreign exchange brokerage services
2	Underwriting and private placement services
3	Financial management services
4	Credit-related services, except credit card services
5	Credit card services
6	Financial advisory and custody services
7	Securities lending services
8	Electronic funds transfer services
9	<p>Other financial services</p> <ul style="list-style-type: none"> • If you use this service number — Enter on the schedule the total amount of fees you received for all other financial services combined. See V. of the Instruction Booklet for examples of types of financial services to report under this service number. • If you reported data in the mandatory section of Schedule A under this service number — Enter a brief description of the primary type(s) of service(s) sold. ↴

SCHEDULE A — Receipts of Fees and Commissions From Unaffiliated Foreign Persons for Financial Services

RECEIPTS FROM	BEA USE ONLY		REPORT IN THOUSANDS OF U.S. DOLLARS				
	Service number:	Service number:	Service number:	Service number:	Service number:	Service number:	
	(1)	(2)	(3)	(4)	(5)	(6)	(7)
MANDATORY <i>Complete if total receipts of fees and commissions by the consolidated U.S. enterprise exceed \$10,000,000. Also complete question 21, as appropriate.</i>	1	2	3	4	5	6	7
1. All countries, total	001						
2. Belgium	002	1	302	2	3	4	5
3. Canada	003	1	100	2	3	4	5
4. France	004	1	307	2	3	4	5
5. Germany	005	1	308	2	3	4	5
6. Hong Kong	006	1	611	2	3	4	5
7. Italy	007	1	314	2	3	4	5
8. Japan	008	1	614	2	3	4	5
9. Netherlands	009	1	319	2	3	4	5
10. Singapore	010	1	625	2	3	4	5
11. United Kingdom	011	1	327	2	3	4	5
Other — Specify <i>(Use additional copied sheets as necessary.)</i>	1	2	3	4	5	6	7
12.	012						
13.	013						
14.	014						
15.	015						
16.	016						
17.	017						
18.	018						
19.	019						
VOLUNTARY <i>Complete if total receipts of fees and commissions by the consolidated U.S. enterprise are \$10,000,000 or less.</i>	1	2	3	4	5	6	7
20. All countries, total	020		709				
<p>NOTE — If total receipts for all financial services combined exceed \$10 million — You must complete the MANDATORY section above, and line 20 must be left blank.</p>							
MANDATORY	<ul style="list-style-type: none"> If you are subject to the survey's mandatory reporting requirement and you entered data under service number 1 — Complete item 21. If you are subject to the survey's mandatory reporting requirement and you entered data under service number 9 — Describe the primary type(s) of service(s) in the space provided on page 3 of this form. 						
<p>21. If you reported data in the mandatory section of Schedule A under service number 1, brokerage services — Enter the approximate amount of the total for all countries combined that was for transactions in each of the following groups of financial products. (Estimates may be based upon the judgment of knowledgeable persons, without conducting a detailed records search.)</p>							Report in thousands of U.S. dollars (1)
<p>a. Long-term securities (stocks and bonds, including collateralized mortgage obligations, with no original maturity or with original maturity of more than 1 year)</p>							1
							021
<p>b. Long-term and short-term derivative products (i.e., options, futures, swaps, forwards, and warrants)</p>							1
							022
<p>c. Other (such as commercial paper, bankers acceptances, and foreign exchange brokerage)</p>							1
							023

SCHEDULE B — Payments of Fees and Commissions to Unaffiliated Foreign Persons for Financial Services

Complete the mandatory section of Schedule B for each type of financial service listed below if total purchases from unaffiliated foreign persons of all types of financial services combined by all subsidiaries and parts of the consolidated U.S. enterprise that are financial services providers or intermediaries exceed \$10,000,000. The voluntary section is **requested** to be completed if total purchases from unaffiliated foreign persons of all types of financial services combined by all subsidiaries and parts of the consolidated U.S. enterprise that are financial services providers or intermediaries are \$10,000,000 or less.

Enter the number associated with the type of financial service, instead of the complete title of the service, in the column heading of Schedule B. See V. of the **Instruction Booklet** for complete descriptions of the types of financial services covered.

Enter the names of countries not on the preprinted list, as appropriate, in the left hand margin of Schedule B. Report transactions with international organizations, including those domiciled in the United States; identify these transactions as being with "Int'l Org." Use additional copied sheets to list additional countries, as necessary.

Service number	Service
1	Brokerage services, including foreign exchange brokerage services
2	Underwriting and private placement services
3	Financial management services
4	Credit-related services, except credit card services
5	Credit card services
6	Financial advisory and custody services
7	Securities lending services
8	Electronic funds transfer services
9	<p>Other financial services</p> <ul style="list-style-type: none"> • If you use this service number — Enter on the schedule the total amount of fees you paid for all other financial services combined. See V. of the Instruction Booklet for examples of types of financial services to report under this service number. • If you reported data in the mandatory section of Schedule B under this service number — Enter a brief description of the primary type(s) of service(s) purchased. ↗

SCHEDULE B — Payments of Fees and Commissions to Unaffiliated Foreign Persons for Financial Services

PAYMENTS TO	BEA USE ONLY		REPORT IN THOUSANDS OF U.S. DOLLARS				
	(1)	(2)	Service number:	Service number:	Service number:	Service number:	Service number:
			(3)	(4)	(5)	(6)	(7)
MANDATORY <i>Complete if total payments of fees and commissions by the consolidated U.S. enterprise exceed \$10,000,000. Also complete question 21, as appropriate.</i>	1	2	3	4	5	6	7
1. All countries, total	001						
2. Belgium	002	302					
3. Canada	003	100					
4. France	004	307					
5. Germany	005	308					
6. Hong Kong	006	611					
7. Italy	007	314					
8. Japan	008	614					
9. Netherlands	009	319					
10. Singapore	010	625					
11. United Kingdom	011	327					
Other — Specify <i>(Use additional copied sheets as necessary.)</i>	1	2	3	4	5	6	7
12.	012						
13.	013						
14.	014						
15.	015						
16.	016						
17.	017						
18.	018						
19.	019						
VOLUNTARY <i>Complete if total payments of fees and commissions by the consolidated U.S. enterprise are \$10,000,000 or less.</i>	1	2	3	4	5	6	7
20. All countries, total	020	709					

NOTE — If total payments for all financial services combined exceed \$10 million – You must complete the **MANDATORY** section above, and line 20 must be left blank.

MANDATORY

- If you are subject to the survey's mandatory reporting requirement and you entered data under service number 1 — *Complete item 21.*
- If you are subject to the survey's mandatory reporting requirement and you entered data under service number 9 — *Describe the primary type(s) of service(s) in the space provided on page 5 of this form.*

21. If you reported data in the mandatory section of Schedule B under service number 1, brokerage services — *Enter the approximate amount of the total for all countries combined that was for transactions in each of the following groups of financial products. (Estimates may be based upon the judgment of knowledgeable persons, without conducting a detailed records search.)*

	Report in thousands of U.S. dollars (1)
a. Long-term securities (stocks and bonds, including collateralized mortgage obligations, with no original maturity or with original maturity of more than 1 year)	021
b. Long-term and short-term derivative products (i.e., options, futures, swaps, forwards, and warrants)	022
c. Other (such as commercial paper, bankers acceptances, and foreign exchange brokerage)	023

**OVERFLOW SHEET FOR SCHEDULES A OR B OF 2003 FORM BE-82
ANNUAL SURVEY OF FINANCIAL SERVICES TRANSACTIONS BETWEEN
U.S. FINANCIAL SERVICES PROVIDERS AND UNAFFILIATED FOREIGN PERSONS**

Company Name _____

Control Number _____

Form BE-82(B) Schedule _____ (Enter AA@ or AB@)

Overflow Page # _____ of _____

Country	BEA USE ONLY	Service Number						
		1	2	3	4	5	6	7
BEA Use Only	000	1	2	3	4	5	6	7
Country total for this page (sum of rows 24 - 46)	001	1	2	3	4	5	6	7
	024	1	2	3	4	5	6	7
	025	1	2	3	4	5	6	7
	026	1	2	3	4	5	6	7
	027	1	2	3	4	5	6	7
	028	1	2	3	4	5	6	7
	029	1	2	3	4	5	6	7
	030	1	2	3	4	5	6	7
	031	1	2	3	4	5	6	7
	032	1	2	3	4	5	6	7
	033	1	2	3	4	5	6	7
	034	1	2	3	4	5	6	7
	035	1	2	3	4	5	6	7
	036	1	2	3	4	5	6	7
	037	1	2	3	4	5	6	7
	038	1	2	3	4	5	6	7
	039	1	2	3	4	5	6	7
	040	1	2	3	4	5	6	7
	041	1	2	3	4	5	6	7
	042	1	2	3	4	5	6	7
	043	1	2	3	4	5	6	7
	044	1	2	3	4	5	6	7
	045	1	2	3	4	5	6	7
	046	1	2	3	4	5	6	7

Note: You may use this Overflow Sheet if there is insufficient space on the form BE-82, Schedule A or B, to list every individual foreign country with which you had transactions. (However, if you prefer, you may instead reproduce a copy of the appropriate schedule(s), and enter the data for the additional foreign countries on lines 24 through 31 of the schedule(s).)

The Overflow Sheet is also available in a Microsoft Excel format. If you wish to receive a copy of the Excel file, send an e-mail message to be-82@bea.doc.gov with your request and we will reply to you with the file attached to our message.