



**2014 BENCHMARK SURVEY OF U.S. DIRECT INVESTMENT ABROAD  
MANDATORY — CONFIDENTIAL  
BE-10 Claim for Not Filing**

**Reporter ID Number**

**Mail reports to:** U.S. Department of Commerce  
Bureau of Economic Analysis, BE-69(A)  
Washington, DC 20230

**Deliver reports to:** U.S. Department of Commerce  
Bureau of Economic Analysis, BE-69(A)  
Shipping and Receiving, Section M-100  
1441 L Street, NW  
Washington, DC 20005

**Fax reports to:** (202) 606-5312

**Assistance:** E-mail: [be10/11@bea.gov](mailto:be10/11@bea.gov)  
Telephone: (202) 606-5566  
Copies of form: [www.bea.gov/dia](http://www.bea.gov/dia)

**Please include your BEA Identification Number with all requests.**

**Name and address of U.S. business enterprise for which this claim is filed** — If the enterprise received a Form BE-10A but is exempt from filing, enter below the Reporter name, address, and BEA assigned Identification Number (Reporter ID) from the preprinted information provided in Part I, **1**, of Form BE-10A. If a Form BE-10A was not received, enter name and address.

Name and address of U.S. Reporter

A BE-10, Benchmark Survey of U.S. Direct Investment Abroad — 2014, is required from any U.S. person that had a foreign affiliate — that is, that had direct or indirect ownership or control of at least 10 percent of the voting stock of an incorporated foreign business enterprise or an equivalent interest in an unincorporated foreign business enterprise — at **any** time during its 2014 fiscal year. (The U.S. person's 2014 fiscal year is defined to be the person's financial reporting year that has an ending date in calendar year 2014.)

Section 801.8 of 15 CFR, Chapter VIII, requires that all persons subject to the reporting requirements of the BE-10 survey respond, whether or not they are contacted by BEA.

It also requires that persons, or their agents, who are contacted by BEA about reporting in this survey, either by sending them report forms or by written inquiry, must respond in writing. They may respond by:

- filing the properly completed BE-10 report by May 29, or June 30, 2015, as required;
- completing and returning the "BE-10 Claim for Not Filing" by May 29, 2015; or
- certifying in writing, by May 29, 2015, to the fact that the person had no direct investment within the purview of the reporting requirements of the BE-10 survey.

**CONTACT INFORMATION**

Provide information of person to consult about this report:

<p>0990 <input type="text" value="Name"/></p> <p>0991 <input type="text" value="Street 1"/></p> <p>0992 <input type="text" value="Street 2"/></p> <p>0993 <input type="text" value="City"/> <input type="text" value="State"/> <input type="text" value="Zip"/></p>	<p>0994 <input type="text" value="Telephone Number"/> <input type="text" value="Extension"/></p> <p>0997 <input type="text" value="Fax Number"/></p> <p>0999 <input type="text" value="E-mail Address"/></p>
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**NOTE:** BEA uses a Secure Messaging System to correspond with you via encrypted message to discuss questions relating to this form. We may use your e-mail address for survey-related announcements and to inform you about secure messages. When communicating with BEA by e-mail, please do not include any confidential business or personal information.

**CERTIFICATION**

The undersigned official certifies that the information contained in this claim is correct and complete to the best of his/her knowledge.

<p>0995 <input type="text" value="Signature of Authorized Official"/></p> <p><input type="text" value="Name"/></p>	<p><input type="text" value="Date"/></p> <p><input type="text" value="Title"/></p>	<p>0996 <input type="text" value="Telephone Number"/> <input type="text" value="Extension"/></p> <p>1001 <input type="text" value="Fax Number"/></p>
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**BE-10 Claim for Not Filing**

**BASIS OF CLAIM FOR NOT FILING — Mark (X) and complete either A or B**

**A.**  This U.S. person did **not** own or control, directly and/or indirectly, 10 percent or more of the voting securities of an incorporated foreign business enterprise, or an equivalent interest in an unincorporated foreign business enterprise (including a branch or real estate held for other than personal use) at any time during its 2014 fiscal year.

<sup>1</sup>  But *did* file the 2013 BE-11, Annual Survey of U.S. Direct Investment Abroad with this Bureau.

<sup>1</sup>  And *did not* file a 2013 BE-11, Annual Survey of U.S. Direct Investment Abroad report with this Bureau.

**B.**  This U.S. person **did** own or control, directly or indirectly, 10 percent or more of the voting securities of an incorporated foreign business enterprise, or an equivalent interest in an unincorporated foreign business enterprise (including a branch or real estate held for other than personal use) during its 2014 fiscal year, but (*Mark (X) one*) –

<sup>1</sup>  The owners are citizens of a foreign country who (a) are residing in the U.S. as a result of official employment by a foreign government (including the immediate family of such persons), or (b) have been and expect to be residing in the U.S. for less than one year.

<sup>1</sup>  Is fully consolidated in the BE-10 report of another U.S. person – *Give name and address of that person and their BEA Identification Number, if known.* →

Name		
Address – Number and street or P.O. Box		
City	State	ZIP Code
BEA Identification Number →		

<sup>1</sup>  Other – *Specify and include reference to section of regulations or instructions on which claim is based.*


**MANDATORY** — This survey is being conducted under the International Investment and Trade in Services Survey Act (P.L. 94-472, 90 Stat. 2059, 22 U.S.C. 3101–3108, as amended – hereinafter “the Act”), and the filing of reports is mandatory under Section 5(b)(2) of the Act (22 U.S.C. 3104).

**CONFIDENTIALITY** — The Act provides that your report to this Bureau is CONFIDENTIAL and may be used only for analytical or statistical purposes. Without your prior written permission, the information filed in your report CANNOT be presented in a manner that allows it to be individually identified. Your report CANNOT be used for purposes of taxation, investigation, or regulation. Copies retained in your files are immune from legal process.

**PENALTIES** — Whoever fails to report shall be subject to a civil penalty of not less than \$2,500, and not more that \$25,000, and to injunctive relief commanding such person to comply, or both. Whoever willfully fails to report shall be fined not more than \$10,000 and, if an individual, may be imprisoned for not more than one year, or both. Any officer, director, employee, or agent of any corporation who knowingly participates in such violations, upon conviction, may be punished by a like fine, imprisonment, or both (22 U.S.C. 3105). These civil penalties are subject to inflationary adjustments. Those adjustments are found in 15 C.F.R. 6.4.

Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

Remarks

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