



QUARTERLY SURVEY OF FOREIGN DIRECT INVESTMENT IN THE UNITED STATES Transactions of U.S. Affiliate with Foreign Parent Mandatory and Confidential

Table with 10 columns, first column contains '1', header 'BEA USE ONLY'

- Electronic filing: Go to www.bea.gov/efile for details
Mail reports to: U.S. Department of Commerce, Bureau of Economic Analysis, BE-49(Q), Washington, DC 20230
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Name and mailing address of the consolidated U.S. affiliate

Definitions: Underlined terms are defined on page 16.

Due date: 30 days after the close of each calendar or fiscal quarter end; 45 days if the report is for the final quarter of the financial reporting year.

Who must report: A Form BE-605 is required from every U.S. business enterprise in which a foreign entity has a direct and/or indirect ownership interest of 10 percent or more of the voting stock...

Monetary values should be reported in thousands of U.S. dollars. If an amount is between positive and negative \$500, enter "0." Use parentheses to indicate negative numbers.

Accounting methods and records: Report items according to U.S. Generally Accepted Accounting Principles (U.S. GAAP), unless otherwise specified. Corporations should use the same methods and records that are used to generate reports to stockholders...

- Reports for unincorporated businesses should be generated on an equivalent basis.
• References to Financial Accounting Standards Board Accounting Standards Codification topics are indicated with "FASB ASC" and a topic number (for example, FASB ASC 350).

Exemption: A U.S. affiliate claiming exemption from filing a BE-605 report must complete the Claim for Exemption on page 13 of this form. Also complete the "Person to Consult" and "Certification" sections on page 15...

Estimates: If actual amounts are not available, supply estimates and label them as such. When a data item cannot be fully subdivided as required, supply a total and an estimated breakdown of the total.

Faxing your report: When submitting this report via fax, send ONLY those pages on which information is reported, including the front page and the Claim for Exemption section (if completed). DO NOT send pages that only contain instructions.

Retention of copies: Retain a copy of filed reports for 3 years beyond the report's original due date.

1 What is the ending date of the quarter for which this report is completed? ... / ... / ...

2 Did this entity become a U.S. affiliate of a foreign entity during the current quarter? ... / ... / ...

340 1 Yes - Enter date the U.S. business enterprise became a U.S. affiliate ... / ... / ...

2 No

3 Is this report a resubmission of a past report?

302 1 Yes - Please contact us if you require guidance (see Assistance above). Note that for the preceding quarter, Part III may be revised on the current form, as can the beginning balances in items 27, 30, and 32.

2 No

4 Which best describes the entity receiving this report?

003 1 A U.S. business enterprise incorporated in the United States

2 An unincorporated U.S. business enterprise, such as a branch, partnership, real estate, etc.

3 A U.S. limited liability company (LLC)

5 If the U.S. affiliate's industry classification, based on the largest source of sales or gross operating revenues, has changed, or if this is an initial filing, enter the appropriate industry code (refer to industry code insert you received with your BE-605 form or www.bea.gov/naics2007).

6 Is the U.S. affiliate planning to expand, or in the process of expanding, its operations to include a new facility where business is conducted?

343 1 Yes

2 No

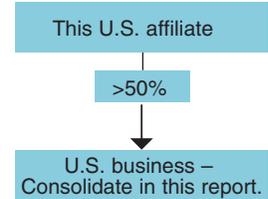
Part I – Rules for Consolidating the U.S. Affiliate

7 Has the ownership structure of this U.S. affiliate changed since the previous quarter?

- 303 1 Yes – Please provide a chart showing the new ownership structure if available.
 2 No

8 Does this U.S. affiliate (as an individual entity) hold, either directly or indirectly, a MAJORITY voting interest (over 50 percent) in any U.S. business enterprises?

- 304 1 Yes –
- **Consolidate** those enterprises in this report with the exception noted below.
 - **DO NOT consolidate** any U.S. business enterprises in which a direct ownership interest and an indirect ownership interest are held by DIFFERENT foreign entities. **Report** this U.S. affiliate’s interest in such enterprises on an equity basis, even if it is more than 50 percent. These enterprises must file their own Form BE-605 unless they qualify for exemption.
- 2 No



9 Does this U.S. affiliate hold, either directly or indirectly, a voting interest from 10 to 50 percent in any U.S. business enterprises?

- 305 1 Yes – **Do not consolidate** such enterprises in this report. **Report** this U.S. affiliate’s interest in such enterprises on an equity basis, **OR** in accordance with FASB ASC 320 (formerly FAS 115) if owned less than 20 percent. These enterprises must file their own Form BE-605 unless they qualify for exemption.
- 2 No



10 Does this U.S. affiliate own, either directly or indirectly, any foreign business enterprises?

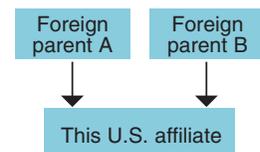
- 306 1 Yes – **Do not consolidate** foreign business enterprises in this report. **Report** U.S. affiliate’s interest in foreign operations on an equity basis, **OR** in accordance with FASB ASC 320 (formerly FAS 115) if owned less than 20 percent.
- 2 No



Part II – Identifying the Foreign Parent and Foreign Affiliates of the Foreign Parent (FAFP)

11 Does more than one foreign parent have a direct investment voting interest in this U.S. affiliate?

- 307 1 Yes – **File** a separate BE-605 report for each foreign parent having a direct investment voting interest in this U.S. affiliate.
 2 No



12 What is the name of the FIRST foreign parent in a chain of ownership extending outside the United States that holds either a direct or indirect voting interest in this U.S. affiliate?

- The entity named below is hereinafter referred to as the foreign parent.
- **Report** transactions with the foreign parent in **Parts III, IV, V, and VII**.

Name of the foreign parent ³⁰⁸
of this U.S. affiliate

Part II – Identifying the Foreign Parent and Foreign Affiliates of the Foreign Parent (FAFP) – Continued

13 What is the country of incorporation (or residence, if an individual) of the foreign parent named in item **12** ?

005 **BEA USE ONLY**

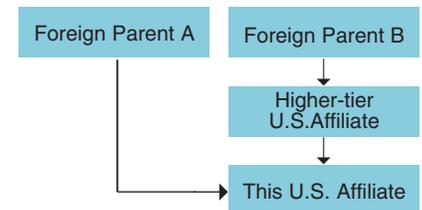
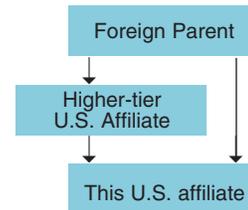
14 What type of equity interest does the foreign parent hold in this U.S. affiliate? (Check one box)

006 **A. Only a direct equity interest – SKIP to **16****

B. Only an indirect equity interest through another U.S. affiliate –
Complete ONLY **Parts V** and **VI**, as applicable. Amounts representing this U.S. affiliate's equity accounts are part of another higher-tier U.S. affiliate's BE-605 report and should not be duplicated here.

C. Both a direct equity interest AND an indirect equity interest through another U.S. affiliate –

- If BOTH a direct and indirect equity interest are held by the SAME foreign parent, this U.S. affiliate may be fully consolidated into the BE-605 report filed by the higher-tier U.S. affiliate that owns it, and the minority interest not held by the foreign parent either directly or indirectly must be eliminated. In the example at the right, this U.S. affiliate may be fully consolidated into the BE-605 report filed by the higher-tier U.S. affiliate that owns it directly.
- If a direct and an indirect equity interest are held by DIFFERENT foreign parents, this U.S. affiliate must file Form BE-605 for EACH foreign parent. In the example at the right, this U.S. affiliate may not be fully consolidated into the BE-605 report filed by the higher-tier U.S. affiliate because of the direct ownership held by foreign parent A.



15 If B or C was marked in item **14**, what is/are the name(s) of the U.S. affiliate(s) having a direct equity interest in this U.S. affiliate?

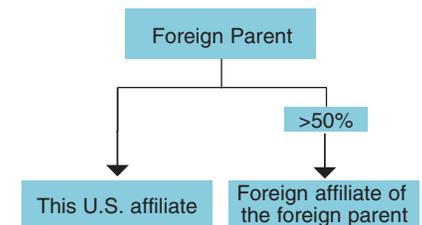
309

16 Does the foreign parent own more than 50 percent of other foreign (i.e., non-U.S.) business enterprises?

310 **Yes –** • These foreign business enterprises are considered examples of foreign affiliates of the foreign parent (FAFPs).

- **Report** this U.S. affiliate's transactions with foreign affiliates of the foreign parent in **Part VI**, Balances and Interest Between U.S. Affiliate, as Consolidated, and Foreign Affiliates of the Foreign Parent (FAFPs).

No

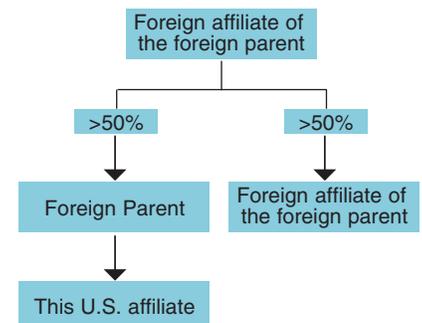


17 Is the foreign parent owned more than 50 percent by another foreign (i.e., non-U.S.) entity?

311 **Yes –** • Majority owners of the foreign parent are also considered foreign affiliates of the foreign parent (FAFP's). Majority-owned subsidiaries of these majority owners are additional examples of FAFP's.

- **Report** this U.S. affiliate's transactions with FAFP's in **Part VI**.

No



- 18 A. Report** the amount that represents the foreign parent's share, based on its directly held equity interest, in the U.S. affiliate's net income (loss) for the quarter, before provision for all common and preferred dividends owed to foreign parent(s) and before any deduction for U.S. withholding taxes on dividends, but AFTER provision for U.S. Federal, State, and local income taxes.
- U.S. affiliates in extractive industries should report net income BEFORE depletion charges, EXCEPT charges representing the amortization of the actual cost of capital assets.
- 18 B.** Please read the following instructions carefully as they are keyed to economic accounting concepts and in some cases may deviate from what is normally required by U.S. Generally Accepted Accounting Principles.
- 18 B1. Report** those gains (losses) that were included in the foreign parent's share of net income (item A) resulting from:
- Extraordinary, unusual, or infrequently occurring items that are material. **Include** losses from accidental damage or disasters, after estimated insurance reimbursement. **Include** other material items, including writeups, writedowns, and writeoffs of tangible and intangible assets and gains (losses) from the sale or other disposition of capital assets. **Do not include** legal judgments.
 - Sale or other dispositions of financial assets, including investment securities; gains (losses) related to fair value accounting; FASB ASC 320 (formerly FAS 115) holding gains (losses) on securities classified as trading securities; FASB ASC 320 impairment losses; and gains and losses derived from derivative instruments. **Dealers in financial instruments and finance and insurance companies, see special instructions below.**
 - Restructuring costs that reflect writedowns or writeoffs of assets or liabilities. Do not include actual payments, or charges to establish reserves for future actual payments, such as for severance pay, and fees to accountants, lawyers, consultants, or other contractors.
 - Sale or disposition of land, other property, plant and equipment, or other assets, and FASB ASC 360 (formerly FAS 144) impairment losses. Do not include gains (losses) from the sale of inventory assets in the ordinary course of trade or business. **Real estate companies, see special instructions below.**
 - Goodwill impairment as defined by FASB ASC 350 (formerly FAS 142).
 - Disposals of discontinued operations. **Do not include** income from the operations of a discontinued segment.
 - Remeasurement of the U.S. affiliate's foreign-currency-denominated assets and liabilities due to changes in foreign exchange rates during the reporting period.
 - The cumulative effect of a change in accounting principle.
 - The cumulative effect of a change in the estimate of stock compensation forfeitures under FASB ASC 718 (formerly FAS 123(R)).
- 18 B2. Include**, per FASB ASC 220 (formerly FAS 130), unrealized holding gains (losses) for available-for-sale securities (including those classified as current assets), less reclassification adjustments, and pension and postretirement benefit plans after provision for U.S. Federal, State, and local income taxes. **Do not include** foreign currency translation adjustment; **report** translation adjustment in item C.

18 B1.–B2.

Special instructions for dealers in financial instruments (including securities, currencies, derivatives, and other financial instruments), **finance companies, and insurance companies:**

Include in item B1:

- realized gains (losses) on trading or dealing;
- unrealized gains (losses) due to changes in the valuation of financial instruments that flow through the income statement;
- all other items described in the general instructions for item B1 (above).

Include in item B2 unrealized gains (losses) due to changes in the valuation of financial instruments that are taken to other comprehensive income, and all other items described in the general instructions for item B2 (above).

Do not include income from fees and commissions in items B1 or B2; **report** fees and commissions in item A.

Special instructions for real estate companies – Report gains (losses) from the sale, disposition, or revaluation of land, other property plant and equipment, or other assets as follows:

- Include** realized gains (losses) from the sale of real estate in the ordinary course of trade or business in item A; **do not include** these gains (losses) in items B1 or B2.
- Include** impairment losses of long-lived assets, as defined by FASB ASC 360 (formerly FAS 144), and recognized during the period, in items A and B1.
- All other items should be treated as described in the general instructions for items A, B1, and B2 (above).

Instructions for Part III

Foreign Parent's Direct Equity Share in the U.S. Affiliate, as Consolidated – Continued

- 18 C. Report** foreign parent's share of the foreign currency translation adjustment resulting from the translation of the foreign affiliate's financial statements from the affiliate's functional currency into U.S. dollars in accordance with FASB ASC 830 (formerly FAS 52) or other current standards of the Financial Accounting Standards Board.
- 18 D. Report** dividends as of the date they were declared or paid, GROSS of any U.S. tax withheld. Any subsequent settlement of dividends declared but not paid SHOULD NOT be reported a second time, but should be reflected only as a reduction in **Part V** item **27**.
- **Exclude** stock and liquidating dividends. **Report** liquidating dividends in **Part IV** item **19** B.
- 18 E. Report** gross amounts of earnings distributed by unincorporated U.S. affiliates, whether out of current or past earnings.

Part III – Foreign Parent's Direct Equity Share in the U.S. Affiliate, as Consolidated

- **Report** items **18** A–G on a quarterly basis, NOT on a cumulative or year-to-date basis.
- Use the column headed Preceding Quarter (if revised) to correct data that were incorrect or not given in the preceding quarter.
- Do not delay filing because current quarter data are not available. Estimates may be used where necessary to file a timely report.
- Do not complete this section if the foreign parent only holds an INDIRECT equity interest in this U.S. affiliate. Amounts representing this U.S. affiliate's equity accounts are part of another higher-tier U.S. affiliate's consolidated report.

18 What is the foreign parent's share of:

	Current Quarter				Preceding Quarter (if revised)			
	Bil.	Mil.	Thou.	Dols.	Bil.	Mil.	Thou.	Dols.
A. The U.S. affiliate's quarterly net income (loss), after provision for income taxes?	011	1			011	2		
		\$		000		\$		000
B. Certain gains (losses), after provision for income taxes:	012	1			012	2		
1. Included in net income in item 18 A?		\$		000		\$		000
2. Not included in net income in item 18 A but taken to other comprehensive income?	013	1			013	2		
		\$		000		\$		000
C. The CHANGE in the translation adjustment account during the quarter?	313	1			313	2		
		\$		000		\$		000
D. Dividends on common and preferred stock (gross of U.S. withholding taxes) excluding stock dividends?	014	1			014	2		
		\$		000		\$		000
E. Earnings distributed by unincorporated U.S. affiliates?	015	1			015	2		
		\$		000		\$		000
F. U.S. tax withheld on dividends (item 18 D) or on distributed earnings of unincorporated U.S. affiliate (item 18 E)?	016	1			016	2		
		\$		000		\$		000
G. The net amount of dividends/earnings distributed (item 18 D or 18 E less item 18 F)?	017	1			017	2		
		\$		000		\$		000

Change in Foreign Parent's Equity in the U.S. Affiliate During the Quarter

Entries in **Part IV** are necessary to identify the amount and cause of any changes in equity holdings by the foreign parent in the U.S. affiliate during the quarter.

- **Report** the transaction (i.e., market) value of consideration given or received for increases or decreases in the foreign parent's equity holdings in the U.S. affiliate.

19 A. Include:

- purchases of capital stock by the foreign parent from the U.S. affiliate;
- contributions of equity by the foreign parent that did not result from the issuance of stock to the foreign parent by the U.S. affiliate;
- capitalization of intercompany debt (report the amount of debt converted to equity as the transaction value of the equity increase in item **19 A**), and adjust the debt balance as appropriate in **Part V** item **27** ;
- unincorporated U.S. affiliates must report the foreign parent's share of any increase in the U.S. affiliate's equity (or home office account) arising from its transactions with the foreign parent, excluding amounts reported in **Part III** and **Part V** .

Exclude changes caused by:

- carrying net income to the equity account;
- the effect of treasury stock transactions with persons other than the foreign parent;
- reorganizations in capital structure that do not affect total equity.

19 B. Include:

- sales of capital stock by the foreign parent to the U.S. affiliate;
- returns of contributed equity capital to the foreign parent not resulting in a reduction of issued stock;
- distributions to the foreign parent following total liquidation of the U.S. affiliate;
- unincorporated U.S. affiliates must report the foreign parent's share of any decrease in the U.S. affiliate's equity (or home office account) arising from its transactions with the foreign parent, excluding amounts reported in **Part III** and **Part V** .

Exclude changes caused by:

- carrying net losses to the equity account;
- payment of stock or cash dividends (other than liquidating dividends);
- the distribution of earnings during the period;
- the effect of treasury stock transactions with entities other than the foreign parent;
- reorganizations in capital structure that do not affect total equity.

Part IV – Change in Foreign Parent’s Equity in the U.S. Affiliate During the Quarter

For Transactions between the Foreign Parent and U.S. Affiliate

		Bil.	Mil.	Thou.	Dols.
19 What is the transaction value of the foreign parent’s:	026				
A. Increase of equity in the U.S. affiliate?		\$			000
	027				
B. Decrease of equity in the U.S. affiliate?		\$			000

For Transactions between the Foreign Parent and an Entity other than U.S. Affiliate

20 What is the transaction value of the ACQUISITION of an equity interest in the U.S. affiliate by the foreign parent:	028				
A. From a U.S. entity other than the U.S. affiliate?		\$			000
	029				
B. From all foreign entities?		\$			000

21 What is the transaction value of the SALE of an equity interest in the U.S. affiliate by the foreign parent:	030				
A. To U.S. entities other than the U.S. affiliate?		\$			000
	031				
B. To all foreign entities?		\$			000

22 What is the total transaction value of the change in the foreign parent’s equity interest in the U.S. affiliate?	032		\$		000
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This item should equal the sum of items **19** A, **20** A, and **20** B MINUS the sum of items **19** B, **21** A, and **21** B.

	For acquisition (20 A & B)				For sale or termination of operations (21 A & B)					
	Bil.	Mil.	Thou.	Dols.	Bil.	Mil.	Thou.	Dols.		
A. Exceed the value carried on the books of the U.S. affiliate?	903 2			\$	000	903 4			\$	000
B. Are less than the value carried on the books of the U.S. affiliate?	903 3			\$	000	903 5			\$	000

Instructions for Part V

Balances and Interest Between Foreign Parent and U.S. Affiliate, as Consolidated

Report all current and long-term intercompany accounts and interest between the U.S. affiliate and the foreign parent in **Part V**.

Derivatives Contracts – Exclude the value of outstanding financial derivatives contracts and any payments or receipts resulting from the settlement of those contracts. For example, the settlements of interest rate derivatives should NOT be reported as interest or as another type of transaction on this form. Derivatives contracts are covered by the Treasury International Capital (TIC) Form D, Report of Holdings of, and Transactions in, Financial Derivatives Contracts.

24, **25** and **26** These items are intended to assist banks and other types of finance companies to determine how to fill out the rest of **Part V**.

27 The current quarter's opening balance should be equal to the previous quarter's closing balance; therefore, if it is necessary to translate the balances to U.S. dollars, use the same exchange rate to translate the opening balance for the current quarter as was used to translate the closing balance for the previous quarter. If the closing balance on the preceding quarter's report was in error, note the correction.

28 If leases between the U.S. affiliate and the foreign parent are capitalized, then the outstanding capitalized value should be reported in item **27** as an intercompany balance. Lease payments should be disaggregated into the amounts that are (i) a reduction in an intercompany balance, to be reported in items **27** A or **27** B, and (ii) interest, to be reported in item **28**.

Instructions for Part VI

Balances and Interest Between U.S. Affiliate, as Consolidated, and Foreign Affiliates of the Foreign Parent (FAFPs)

Report all current and long-term intercompany accounts and transactions between the U.S. affiliate and the foreign affiliates of the foreign parent (FAFPs) in **Part VI**.

Derivatives Contracts – Exclude the value of outstanding financial derivatives contracts and any payments or receipts resulting from the settlement of those contracts. For example, the settlements of interest rate derivatives should NOT be reported as interest or as another type of transaction on this form. Derivatives contracts are covered by the Treasury International Capital (TIC) Form D, Report of Holdings of, and Transactions in, Financial Derivatives Contracts.

30 and **32**

The current quarter's opening balance should be equal to the previous quarter's closing balance; therefore, if it is necessary to translate the balances to U.S. dollars, use the same exchange rate to translate the opening balance for the current quarter as was used to translate the closing balance for the previous quarter. If the closing balance on the preceding quarter's report was in error, note the correction.

31 and **33**

If leases between the U.S. affiliate and the FAFPs are capitalized, then the outstanding capitalized value should be reported in items **30** or **32** as an intercompany balance. Lease payments should be disaggregated into the amounts that are (i) a reduction in an intercompany balance, to be reported in items **30** or **32**, and (ii) interest, to be reported in items **31** or **33**.

Part V – Balances and Interest Between Foreign Parent and U.S. Affiliate, as Consolidated

24 Is the U.S. affiliate a bank, bank holding company, financial holding company, or securities broker or dealer?

- 021 1 Yes
 2 No – SKIP items **25** and **26** and continue with item **27**

25 Is the foreign parent listed in item 12 in the finance industry?

- 022 1 Yes
 2 No – SKIP item **26** and continue with item **27**

26 Does this Form BE-605 include data for domestic U.S. subsidiaries or units that have insurance, real estate, or leasing activities?

- 023 1 Yes – Complete items **27** through **33** but ONLY report the amounts that relate to insurance, real estate, and leasing activities. EXCLUDE amounts that represent balances and interest between banking and finance units in the United States and a foreign parent in the finance industry.
 2 No – SKIP to **Part VII** (completed once a year)

27 Balances

What were the short and long term balances owed directly to, and due directly from, the foreign parent?

- Do NOT net payables against receivables.

	BEGINNING of quarter				END of quarter			
	Bil.	Mil.	Thou.	Dols.	Bil.	Mil.	Thou.	Dols.
A. Payables owed directly TO the foreign parent by the U.S. affiliate \$	024 2			000	024 1			\$ 000
B. Receivables due to the U.S. affiliate directly FROM the foreign parent \$	025 2			000	025 1			\$ 000

28 Interest

What were the interest payments and receipts between the foreign parent and the U.S. affiliate during the quarter?

- Report** quarterly amounts (not year to date), GROSS OF U.S. AND FOREIGN WITHHOLDING TAX.
- Include interest on capital leases.
- Do NOT net payments against receipts.

	Interest			
	Bil.	Mil.	Thou.	Dols.
A. Interest payments credited to foreign parent or charged to U.S. affiliate \$	018 1			\$ 000
B. Interest receipts credited to U.S. affiliate or charged to foreign parent \$	018 2			\$ 000

BEA USE ONLY		
001 1	2	3

Part VI – Balances and Interest Between U.S. Affiliate, as Consolidated, and Foreign Affiliates of the Foreign Parent (FAFPs)

29 Does the consolidated U.S. affiliate have accounts or direct transactions with foreign affiliates of the foreign parent (FAFPs)?

- 043 Yes – Continue with **30** through **33**. (Note: Instructions for **Part VI** appear on page 8.)
 No – Skip to **Part VII** (completed once a year)

Note: For **Part VI**, values for countries which individually amount to less than \$500 thousand may be combined in the "Unallocated" row. Do NOT net payables against receivables.

U.S. Affiliates' Payables and Interest Payments to FAFPs

30 Payable balances

What were the short and long term balances with the FAFPs at the end and beginning of the quarter, by country?

31 Interest paid

What amounts, including interest on capital leases, were credited directly to FAFPs during this quarter, by country?

Country of FAFP	BEGINNING of quarter				END of quarter								
	Bil.	Mil.	Thou.	Dols.	Bil.	Mil.	Thou.	Dols.	Bil.	Mil.	Thou.	Dols.	
A. Canada	044	1	3		2				4				
		100	\$		000	\$			000	\$			000
B. United Kingdom	045	1	3		2				4				
		327	\$		000	\$			000	\$			000
C. Netherlands	046	1	3		2				4				
		319	\$		000	\$			000	\$			000
D. Japan	047	1	3		2				4				
		614	\$		000	\$			000	\$			000
Other countries – Specify	048	1	3		2				4				
E.			\$		000	\$			000	\$			000
	049	1	3		2				4				
F.			\$		000	\$			000	\$			000
	050	1	3		2				4				
G.			\$		000	\$			000	\$			000
	051	1	3		2				4				
H.			\$		000	\$			000	\$			000
	052	1	3		2				4				
I.			\$		000	\$			000	\$			000
	053	1	3		2				4				
J.			\$		000	\$			000	\$			000
	054	1	3		2				4				
K.			\$		000	\$			000	\$			000
	055	1	3		2				4				
L.			\$		000	\$			000	\$			000
	056	1	3		2				4				
M.			\$		000	\$			000	\$			000
	057	1	3		2				4				
N.			\$		000	\$			000	\$			000
	058	1	3		2				4				
O.			\$		000	\$			000	\$			000
P. Unallocated – Values for countries that individually amount to less than \$500 thousand.	155	1	3		2				4				
		709	\$		000	\$			000	\$			000
	156		3		2				4				
Q. TOTALS			\$		000	\$			000	\$			000

If more rows are needed in order to list all countries, use additional sheets as necessary.
A photocopy of Page 10 and/or Page 11 may be used for this purpose.

U.S. Affiliates' Receivables and Interest Receipts from FAFPs

32 Receivable balances

What were the short and long term balances with the FAFPs at the end and beginning of the quarter, by country?

Country of FAFP	BEGINNING of quarter				END of quarter				Interest received			
	Bil.	Mil.	Thou.	Dols.	Bil.	Mil.	Thou.	Dols.	Bil.	Mil.	Thou.	Dols.
A. Canada	157	1	3				2				4	
		100	\$				000 \$				000 \$	000
B. United Kingdom	158	1	3				2				4	
		327	\$				000 \$				000 \$	000
C. Netherlands	159	1	3				2				4	
		319	\$				000 \$				000 \$	000
D. Japan	160	1	3				2				4	
		614	\$				000 \$				000 \$	000
Other countries – Specify	161	1	3				2				4	
E.			\$				000 \$				000 \$	000
	162	1	3				2				4	
F.			\$				000 \$				000 \$	000
	163	1	3				2				4	
G.			\$				000 \$				000 \$	000
	164	1	3				2				4	
H.			\$				000 \$				000 \$	000
	165	1	3				2				4	
I.			\$				000 \$				000 \$	000
	166	1	3				2				4	
J.			\$				000 \$				000 \$	000
	167	1	3				2				4	
K.			\$				000 \$				000 \$	000
	168	1	3				2				4	
L.			\$				000 \$				000 \$	000
	169	1	3				2				4	
M.			\$				000 \$				000 \$	000
	170	1	3				2				4	
N.			\$				000 \$				000 \$	000
	171	1	3				2				4	
O.			\$				000 \$				000 \$	000
P. Unallocated – Values for countries that individually amount to less than \$500 thousand.	268	1	3				2				4	
		709	\$				000 \$				000 \$	000
Q. TOTALS	269		\$				2				4	
			\$				000 \$				000 \$	000

33 Interest received

What amounts, including interest on capital leases, were credited directly from FAFPs during this quarter, by country?

Part VII – Annual Section – Selected Annual Information

COMPLETE THIS SECTION ONCE A YEAR, NO LATER THAN THE SECOND FILING following the close of the financial reporting year. For example, if the U.S. affiliate's books annually close on September 30th, this section must be completed for the report due within 30 days after the close of the first calendar quarter (that is, by April 30th).

- If audited amounts are not available, supply estimates and label them as such. When a data item cannot be fully subdivided as required, supply a total and an estimated breakdown of the total.

	Month	Day	Year
34 What is the ending date of the U.S. affiliate's most recent financial reporting year?	034	_ / _ /	_ _ _
35 What is the percentage of the foreign parent's direct equity ownership interest in the U.S. affiliate as of the date reported in 34 above? Enter to tenth of percent.	035	_ . _ %	

Income Statement Items

		Bil.	Mil.	Thou.	Dols.
36 What is the foreign parent's direct equity in the consolidated U.S. affiliate's annual net income (loss) after provision for U.S. Federal, State, and local income taxes?	036	\$			000
37 What is the foreign parent's share of certain gains (losses) including unusual and nonrecurring items, net of taxes:					
A. Included in net income on line 36 (refer to instruction for item 18 B1 on page 4)?	037	\$			000
B. NOT included in net income on line 36 , but taken to other comprehensive income (refer to instruction for item 18 B2 on page 4)?	038	\$			000
38 What is the foreign parent's share of the change in the translation adjustment account during the year?	338	\$			000

Owner's Equity Items – Foreign Parent's Share At End of Year

- Report the foreign parent's share of the owner's equity items of the consolidated U.S. affiliate as of the date shown in **34**.
- Line **40** must be computed on the equity method consistent with line **36** above.
- For unincorporated U.S. affiliates that are unable to itemize below, report the foreign parent's share of the total owner's equity in **42**.

		Bil.	Mil.	Thou.	Dols.
39 What is the amount of the foreign parent's share of common and preferred stock and additional paid-in capital?	039	\$			000
40 What is the amount of the foreign parent's share of retained earnings (deficit)?	040	\$			000
41 What is the amount of the foreign parent's share of other equity accounts, including other comprehensive income?					
Specify →	041	\$			000
42 TOTAL (sum of 39 through 41)	042	\$			000

Part VIII – Claim for Exemption

1 The U.S. affiliate, as consolidated, is exempt from filing a BE-605 report if ANY ONE of the conditions specified in statements A–E below applies:

- **Check** the statement that applies and complete ALL adjacent answer boxes.

A01_1 **A.** The values of the U.S. affiliate's total assets, sales or gross operating revenues, AND net income (loss) were EACH equal to or less than \$60 million (positive or negative) for the most recent financial reporting year. This type of exemption claim is only required to be filed once a year.

		Bil.	Mil.	Thou.	Dols.
Total assets – Do not net against liabilities	A11				000
		\$			
Sales or gross operating revenues, excluding sales taxes – Do not report gross margin	A12				000
		\$			
Net income (loss) after provision for U.S. Federal, State and local income taxes	A13				000
		\$			
12-month period ended	A14				
		Month	Day	Year	
		_	_	_	
		/	/	_	
		_	_	_	

A01_2 **B.** This U.S. affiliate is consolidated, merged into, or reorganized into the BE-605 report for another U.S. affiliate.

		Month	Day	Year
Date of change:	A22	_	_	_
		/	/	_
		_	_	_
Company name ^{A20} and address:				
BEA ID number of above named U.S. affiliate (or name of contact person and telephone number):	A21			

A01_3 **C.** This U.S. affiliate is indirectly foreign owned through another U.S. affiliate AND has no direct transactions with the foreign parent(s) or any of its (their) foreign affiliates.

A01_4 **D.** The foreign parent's voting interest in this U.S. business enterprise was (check ANY ONE that applies):

A02_1 **1.** Sold to a U.S. entity that doesn't have foreign ownership of 10% or more.

		Month	Day	Year
Date of sale:	A31	_	_	_
		/	/	_
		_	_	_
Company name: . . .	A33			

		Bil.	Mil.	Thou.	Dols.
Approximate sale value:	A32				000
		\$			

A02_2 **2.** Liquidated/dissolved.

		Month	Day	Year
Date:	A34	_	_	_
		/	/	_
		_	_	_

		Bil.	Mil.	Thou.	Dols.
Approximate amount of liquidation/dissolution:	A35				
Returned to the foreign parent?		\$			000

Other – Please specify ^{A36}		A37		\$	000
---------------------------------------	--	-----	--	----	-----

A02_3 **3.** Diluted.

The foreign parent's total voting interest in this U.S. affiliate is below the 10 percent threshold required to file.

		Month	Day	Year
Date of change:	A38	_	_	_
		/	/	_
		_	_	_

New percentage of ownership for foreign parent?					A39	%
---	--	--	--	--	-----	---

A01_5 **E.** Other – Please specify ^{A40}

Part IX – Remarks Section

A41

Purpose – Reports on this form are required to provide reliable and up-to-date information on foreign direct investment in the United States for inclusion in the U.S. international transactions accounts and the national income and product accounts.

Authority – This survey is being conducted under the International Investment and Trade in Services Survey Act (P.L. 94-472, 90 Stat. 2059, 22 U.S.C. 3101-3108, as amended), and the filing of reports is MANDATORY pursuant to Section 5(b)(2) of the Act (22 U.S.C. 3104). The implementing regulations are contained in Title 15, CFR, Part 806.

Penalties – Whoever fails to report may be subject to a civil penalty not less than \$2,500, and not more than \$25,000, and to injunctive relief commanding such person to comply, or both. Whoever willfully fails to report shall be fined not more than \$10,000 and, if an individual, may be imprisoned for not more than one year, or both. Any officer, director, employee, or agent of any corporation who knowingly participates in such violation, upon conviction, may be punished by a like fine, imprisonment, or both. (22 U.S.C. 3105)

The civil penalties are subject to inflationary adjustments. Those adjustments are found in 15 CFR 6.4.

Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

Respondent Burden – Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: Director, Bureau of Economic Analysis (BE-1), U.S. Department of Commerce, Washington, DC 20230; and to the Office of Management and Budget, Paperwork Reduction Project 0608-0009, Washington, DC 20503.

Confidentiality – The Act provides that your report to this Bureau is CONFIDENTIAL and may be used only for analytical or statistical purposes. Without your prior written permission, the information filed in your report CANNOT be presented in a manner that allows it to be individually identified. Your report CANNOT be used for purposes of taxation, investigation, or regulation. Copies retained in your files are immune from legal process.

Certification – The undersigned official certifies that this report has been prepared in accordance with the applicable instructions, is complete, and is substantially accurate except that, in accordance with the **Instructions**, estimates may have been provided where data are not available from customary accounting records or precise data could not be obtained without undue burden.

Authorized official's signature	Print or type name and title	Date
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PERSON TO CONSULT CONCERNING QUESTIONS ABOUT THIS REPORT – Enter name and address

Name 801_1						
Address 806						
807						
Telephone number	Area code 802_1	Number 802_2	Extension 802_3	FAX number	Area code 803_1	Number 803_2

May e-mail and/or fax be used in correspondence between your enterprise and BEA, including faxed reports, and/or to discuss questions relating to this survey that may contain confidential information about your company?

Note: The internet and telephone systems are not secure means of transmitting confidential information unless it is encrypted. If you choose to communicate with BEA via fax or electronic mail, BEA cannot guarantee the security of the information during transmission, but will treat information we receive as confidential in accordance with Section 5(c) of the International Investment and Trade in Services Survey Act.

<p>804 Email: 1 <input type="checkbox"/> Yes</p> <p>2 <input type="checkbox"/> No</p>	→	E-mail address 805_1
<p>808 Fax: 1 <input type="checkbox"/> Yes</p> <p>2 <input type="checkbox"/> No</p>		

Definitions

Affiliate means a business enterprise located in one country that is directly or indirectly owned or controlled by an entity of another country to the extent of 10 percent or more of its voting stock for an incorporated business or an equivalent interest for an unincorporated business, including a branch.

Affiliated foreign group means (i) the foreign parent, (ii) any foreign entity, proceeding up the foreign parent's ownership chain, that owns more than 50 percent of the entity below it up to and including that entity which is not owned more than 50 percent by another foreign entity, and (iii) any foreign entity, proceeding down the ownership chain(s) of each of these members, which is owned more than 50 percent by the entity above it.

Banking covers business enterprises engaged in deposit banking or closely related functions, including commercial banks, Edge Act corporations engaged in international or foreign banking, foreign branches and agencies of U.S. banks whether or not they accept deposits abroad, U.S. branches and agencies of foreign banks whether or not they accept domestic deposits, savings and loans, savings banks, bank holding companies, and financial holding companies. (U.S. branches of foreign banks are U.S. entities; conversely, foreign branches of U.S. banks are foreign entities.)

Branch means the operations or activities conducted by an entity in a different location in its own name rather than through an incorporated entity.

Business enterprise means any organization, association, branch, or venture that exists for profit making purposes or to otherwise secure economic advantage, and any ownership of any real estate.

Direct investment means the ownership or control, directly or indirectly, by one investor of 10 percent or more of the voting securities of an incorporated business enterprise or an equivalent interest in an unincorporated business enterprise.

Entity (as used here, "entity" is synonymous with "person," as that term is used in the broad legal sense) means any individual, branch, partnership, associated group, association, estate, trust, corporation, or other organization (whether or not organized under the laws of any State), and any government (including a foreign government, the United States Government, a State or local government, and any agency, corporation, financial institution, or other entity or instrumentality thereof, including a government-sponsored agency).

Finance industry is comprised of businesses engaged in financial transactions (transactions involving the creation, liquidation, or change in ownership of financial assets) and/or in facilitating financial transactions. Finance industry activities include the raising of funds by taking deposits and/or issuing securities, and in the process, incurring liabilities, and providing specialized services facilitating, or supporting, financial intermediation.

Foreign, when used in a geographic sense, means that which is situated outside the United States or which belongs to or is characteristic of a country other than the United States.

Foreign affiliate of foreign parent (FAFP) means, with reference to a given U.S. affiliate, any member of the affiliated foreign group that is not a foreign parent of the affiliate.

Foreign direct investment in the United States means the ownership or control, directly or indirectly, by one foreign investor of 10 percent or more of the voting securities of an incorporated U.S. business enterprise or an equivalent interest in an unincorporated U.S. business enterprise, including a branch or partnership.

Foreign parent means each and every foreign entity that directly holds a voting interest of 10 percent or more in the U.S. business enterprise identified as the U.S. affiliate.

United States, when used in a geographic sense, means the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, and all territories and possessions of the United States.

U.S. affiliate means an affiliate located in the United States in which a foreign entity has a direct investment.

U.S. corporation means a business enterprise incorporated in the United States.

Voting interest is the percent of ownership in the voting securities of an incorporated business enterprise or an equivalent interest in an unincorporated business enterprise, including a branch or partnership.