



**FOREIGN PARENT AND UBO INDUSTRY CODES**

**Note:** “ISI codes” are International Surveys Industry codes, as given in the *Guide to Industry Classifications for International Surveys, 2022*.

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| <p><b>01</b> Government and government-owned or -sponsored enterprise, or quasi-government organization or agency</p> <p><b>02</b> Pension fund — Government run</p> <p><b>03</b> Pension fund — Privately run</p> <p><b>04</b> Estate, trust, or nonprofit organization</p> <p><b>05</b> Individual</p> <p><b>Private business enterprise, investment organization, or group engaged in:</b></p> <p><b>06</b> Insurance (ISI codes 5242, 5243, 5249)</p> <p><b>07</b> Agriculture, forestry, fishing and hunting (ISI codes 1110–1140)</p> <p><b>08</b> Mining (ISI codes 2111–2127)</p> <p><b>09</b> Construction (ISI codes 2360–2380)</p> <p><b>10</b> Transportation and warehousing (ISI codes 4810–4939)</p> <p><b>11</b> Utilities (ISI codes 2211–2213)</p> <p><b>12</b> Wholesale and retail trade (ISI codes 4231–4596)</p> <p><b>13</b> Banking, including bank holding companies (ISI codes 5221 and 5229)</p> <p><b>14</b> Holding companies, excluding bank holding companies (ISI codes 5512 and 5513)</p> <p><b>15</b> Other finance (ISI codes 5223, 5224, 5231, 5238, that part of ISI code 5252 that is not estates and trusts, and ISI code 5331)</p> | <p><b>16</b> Real estate (ISI code 5310)</p> <p><b>17</b> Information (ISI codes 5121–5192)</p> <p><b>18</b> Professional, scientific, and technical services (ISI codes 5411–5419)</p> <p><b>19</b> Other services (ISI codes 1150, 2132, 2133, 5321, 5329, and 5611–8130)</p> <p><b>Manufacturing, including fabricating, assembling, and processing of goods:</b></p> <p><b>20</b> Food (ISI codes 3111–3119)</p> <p><b>21</b> Beverages and tobacco products (ISI codes 3121 and 3122)</p> <p><b>22</b> Pharmaceuticals and medicine (ISI code 3254)</p> <p><b>23</b> Other chemicals (ISI codes 3251–3259, except 3254)</p> <p><b>24</b> Nonmetallic mineral products (ISI codes 3271–3279)</p> <p><b>25</b> Primary and fabricated metal products (ISI codes 3311–3329)</p> <p><b>26</b> Computer and electronic products (ISI codes 3341–3346)</p> <p><b>27</b> Machinery (ISI codes 3331–3339)</p> <p><b>28</b> Electrical equipment, appliances and components (ISI codes 3351–3359)</p> <p><b>29</b> Motor vehicles and parts (ISI codes 3361–3363)</p> <p><b>30</b> Other transportation equipment (ISI codes 3364–3369)</p> <p><b>31</b> Other manufacturing (ISI codes 3130–3231, 3261, 3262, 3370–3399)</p> <p><b>32</b> Petroleum manufacturing, including integrated petroleum and petroleum refining without extraction (ISI codes 3242–3244)</p> |
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## Part III – Identification of Foreign Parent and Ultimate Beneficial Owner – Continued

### Section A – IDENTIFICATION OF FOREIGN PARENT AND ULTIMATE BENEFICIAL OWNER (UBO) – Continued

**Furnish the name, country, and industry code of the UBO.** The UBO is that person or entity, proceeding up the ownership chain beginning with and including the foreign parent, that is not more than 50 percent owned or controlled by another person or entity. See instruction II.P. on page 18 for the complete definition of UBO.

**NOTE: See the diagrams at the bottom of this page for examples of the UBO.**

**70** Is the foreign parent named in item **65** also the UBO? If the foreign parent is owned or controlled MORE THAN 50 percent by another person or entity, then the foreign parent is NOT the UBO.

<sup>3019</sup> 1  Yes – (example 1 below) – Skip to **73**

<sup>1</sup> 2  No – (examples 2A and 2B below) – Continue with **71**

**71** Enter the name of the UBO of the foreign parent. If the UBO is an individual, or an associated group of individuals, enter “individual.” See instruction II.D. on page 17 for the definition of associated group. Identifying the UBO as “bearer shares” is not an acceptable response.

<sup>3021</sup> 0

**72** Enter country in which the UBO is incorporated or organized, if a business enterprise, or is resident, if an individual or government. Individuals – see instruction V.G. on page 25.

<sup>3022</sup> 0

BEA USE ONLY

<sup>3022</sup> 1

**a.** What is the city of incorporation of the UBO named in **71**? If the UBO is an individual or government entity, enter N/A.

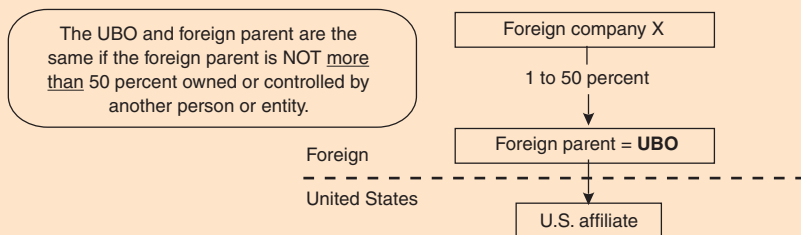
<sup>3025</sup> 0

**73** Enter the industry code of the UBO from the list of codes on page 10. Select the industry code that best reflects the consolidated worldwide sales of the UBO, including all of its majority-owned subsidiaries.

<sup>3023</sup> 1

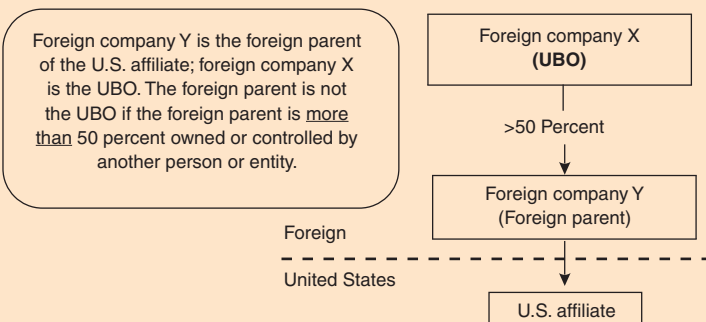
### EXAMPLES OF THE ULTIMATE BENEFICIAL OWNER (UBO)

#### Example 1 – The UBO and foreign parent are the same



#### Examples 2A and 2B – The foreign parent is NOT the UBO

##### 2A. The UBO is a foreign person or entity



##### 2B. The UBO is a U.S. person or entity

