

# **MANDATORY - CONFIDENTIAL**

# SURVEY OF NEW FOREIGN DIRECT INVESTMENT IN THE UNITED STATES FORM BE-13E

#### (2016 FYE Cost Update for Projects Originally Reported on Forms BE-13B and BE-13D)

Please enter the Project Name, BEA ID and 105 Transaction Date from the letter you received: Project Name:		1						
		BEA ID:	3		101 1 Transaction Date:	Month /	Day /	Year
r		Van	ame and mailing address of U.S. Business Enterprise					
Electronic filing:	Go to www.bea.gov/efile for de	etails	010	Company Name				
Mail reports to: U.S. Department of Commerce Bureau of Economic Analysis Direct Investment Division, BE-49(NI) 4600 Silver Hill Road Washington, DC 20233	(	011	In Care Of					
	4600 Silver Hill Road	· · /	012	Attention 1				
Deliver reports to: U.S. Department of Con Bureau of Economic An Direct Investment Divisi			013	Street 1 1				
	4600 Silver Hill Road Suitland, MD 20746	(	014	Street 2				
Fax reports to:	(301) 278-9504	(	015	City 1		State 2	Zip 3	
Assistance:	E-mail: be13@bea.gov Telephone: (301) 278-9419 Copies of form: www.bea.gov/	<u>be13</u>		Country 4				

Due date: No later than 45 days after the request date.

Who must report: A BE-13E report must be filed by a U.S. business enterprise that previously filed a BE-13B or BE-13D each year until final data are collected.

**Purpose:** This survey collects data on the acquisition or establishment of U.S. business enterprises by foreign investors and the expansion of existing U.S. affiliates of foreign companies to establish a new production facility. The data collected on the survey are used to measure the amount of new foreign direct investment in the United States, assess the impact on the U.S. economy, and based on this assessment, make informed policy decisions regarding foreign direct investment in the United States.

**Authority:** This survey is being conducted under the International Investment and Trade in Services Survey Act (P.L. 94-472, 90 Stat. 2059, 22 U.S.C. 3101-3108, as amended), hereinafter "the Act", and the filing of reports is MANDATORY pursuant to Section 5(b)(2) of the Act (22 U.S.C. 3104). The implementing regulations are contained in 15 CFR Part 801.

**Penalties:** Whoever fails to report may be subject to a civil penalty of not less than \$4,450, and not more than \$44,539, and to injunctive relief commanding such person to comply, or both. Whoever willfully fails to report shall be fined not more than \$10,000 and, if an individual, may be imprisoned for not more than one year, or both. Any officer, director, employee, or agent of any corporation who knowingly participates in such violation, upon conviction, may be punished by a like fine, imprisonment, or both (22 U.S.C. 3105). Civil penalties are subject to inflationary adjustments. Those adjustments are found in 15 CFR 6.4.

Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

**Respondent burden:** Public reporting burden for this BE-13E form is estimated to average 45 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing burden, to: Director, Bureau of Economic Analysis (BE-1), U.S. Department of Commerce, 4600 Silver Hill Road, Washington, DC 20233; and to the Office of Management and Budget, Paperwork Reduction Project 0608-0035, Washington, DC 20503.

**Confidentiality:** The Act provides that your report to this Bureau is CONFIDENTIAL and may be used only for analytical or statistical purposes. Without your prior written permission, the information filed in your report CANNOT be presented in a manner that allows it to be individually identified. Your report CANNOT be used for purposes of taxation, investigation, or regulation. Copies retained in your files are immune from legal process. Per the Cybersecurity Enhancement Act of 2015, your data are protected from cybersecurity risks through security monitoring of the BEA information systems.

## **BE-13E** – Instructions and Contact Information

INSTRUCTIONS	\$	Bil.	Mil.	Thous.	Dols.
Monetary Values: Report in U.S. dollars rounded to thousands (omitting 000).	1		-	335	000
EXAMPLE – If amount is \$1,334,891.00, report as			L	335	,000

Estimates: If actual amounts are not available, supply estimates and specify in the notes section.

Retention of copies: Retain a copy of each BE-13 report for three years beyond the report's original due date.

## **CONTACT INFORMATION**

#### Provide information of person to consult about this report:

020	Name 1				
021	Street 1 1			Telephone Number           2         ()	Extension 3
022	Street 2 1			Fax Number 2 ()	
023	City 1	State 2	Zip 3	E-mail Address 4	
	Country 5				

**NOTE:** BEA uses a Secure Messaging System to correspond with you via encrypted message to discuss questions relating to this form. We may use your e-mail address for survey-related announcements and to inform you about secure messages. When communicating with BEA by e-mail, please do not include any confidential business or personal information.

### CERTIFICATION

The undersigned official certifies that this report has been prepared in accordance with the applicable instructions, is complete, and is substantially accurate including estimates that may have been provided.

		<sup>1</sup> ()	2
1 225 Name	Title 2	Fax Number <sup>3</sup> ()	

BE-13E – Project Information Updat	te	
Please provide updates to the information reported on a previously filed BE-13B, BE-13D, or BE-13E:		Month Day Year
What was the end date for the fiscal year ending in 2016?	4(	1
2 Was this new business or facility under development, as of the date given in 1?		
2 No, the project has yet to begin		Month Day Year
<ul> <li><sup>1</sup> 3 No, the project is complete – Provide completion date</li> <li><sup>1</sup> 4 No, the project has been canceled</li> </ul>		//
		\$ Bil. Mil. Thous. Dols.
3 What is the total expected cost associated with setting up this new operation or facility? Include actual completed and projected future expenditures		7, 1,000
<ul> <li>4 What are the actual completed expenditures and updated projected future expenditures, I</li> <li>• Only include leases until the business is fully operating.</li> </ul>		
<ul> <li>Provide actual cash or in-kind outlays, not depreciation.</li> <li>Use the business's fiscal calendar for determining year.</li> </ul>	Fiscal Year 2014	\$ Bil. Mil. Thous. Dols.
	554 4	
	2015	,000
	2016	<sup>2</sup> ,000
	<sup>553</sup> <sup>1</sup> 2017	<sup>2</sup> ,000
	<sup>554</sup> <sup>1</sup> 2018	,000
	<sup>555</sup> <sup>1</sup> 2019	<sup>2</sup> ,000
	<sup>556</sup> <sup>1</sup> 2020	<sup>2</sup> ,000
	<sup>557</sup> <sup>1</sup> 2021	<sup>2</sup> ,000
	<sup>558</sup> <sup>1</sup> 2022	2,000
	<sup>559</sup> <sup>1</sup> 2023	2,000
Sum of all yearly expe	enditures in 4	<sup>5</sup> <sup>2</sup> ,000
This should match the t The	difference is currently	. ,000
5 How much of the expenditures (reported in 4) for the fiscal year ending in 2016, are fo	r:	\$ Bil. Mil. Thous. Dols.
A. Land?		,000
B. Property, plant, and equipment? Include construction. Exclude land	<sup>1</sup> ,000	
C. Intellectual property rights?		4 <sup>1</sup> ,000
D. Fees, taxes, permits, and licenses?		<sup>1</sup> ,000
E. Other costs? Describe in notes section on page 2.		<sup>1</sup> ,000
Sum of 5 A through	<sup>1</sup> ,000	
This should match the expenditures for 2 The	.,000	
	,	,

# **RETURN TO COVER PAGE FOR FILING INSTRUCTIONS**