

# BE-13B – Identifying Foreign Parent Number [ ] of [ ] and Its Ultimate Beneficial Owner

**NOTE:** If there is more than one foreign parent (direct and/or indirect) complete **13** through **29** for each additional foreign parent on separate pages. Additional copies of these pages may be downloaded from [www.bea.gov/fdi](http://www.bea.gov/fdi).

## IDENTIFYING THE FOREIGN PARENT

**13** What is the name of this foreign parent holding a direct or indirect voting interest in the new U.S. business enterprise?

If the foreign parent is an individual, write "individual" instead of the individual's name.

201 Name  
1

Country of Foreign Parent

**14** What is the country of incorporation of this foreign parent?

If the foreign parent is an individual or government, enter the country of residence.

202 1

**15** What is the industry code of this foreign parent? Select the category below which best describes the PRIMARY activity of the SINGLE entity named as the foreign parent. DO NOT base the code on the worldwide sales of all consolidated subsidiaries of the foreign parent.

203 1

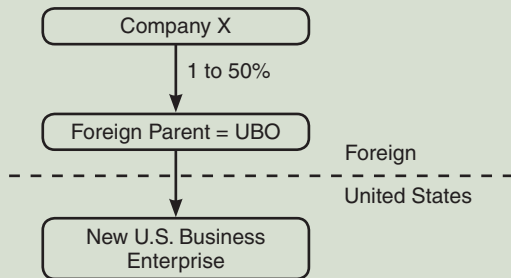
## IDENTIFYING THE ULTIMATE BENEFICIAL OWNER (UBO)

**16** Is this foreign parent also the ultimate beneficial owner (UBO)? (See EXAMPLES 3 and 4)

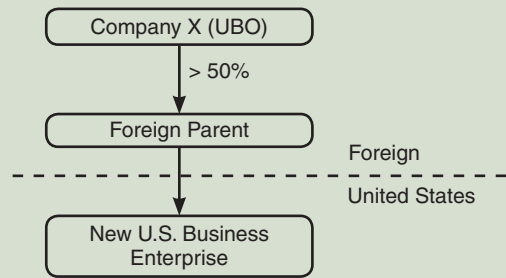
- The UBO is the entity, proceeding up the ownership chain, which is not more than 50 percent owned or controlled by another entity.
- If the foreign parent is owned or controlled more than 50 percent by another entity, then the foreign parent is NOT the UBO.

210 1 1 Yes — SKIP to **19**  
1 2 No

**EXAMPLE 3.**  
Foreign Parent is UBO



**EXAMPLE 4.**  
Foreign Parent is Not UBO



**17** What is the name of the ultimate beneficial owner?

If the UBO is an individual, write "individual" instead of the individual's name.

211 Name  
1

Country of UBO

**18** What is the country of incorporation of the ultimate beneficial owner?

If the UBO is an individual or government, provide the country of residence. . . . .

212 1

**19** What is the industry code of the ultimate beneficial owner?

Select the category below which best reflects the consolidated worldwide sales of the UBO, including all majority-owned subsidiaries.

213 1

**IDENTIFYING THE EXISTING U.S. AFFILIATES**

**20 How was the new U.S. business enterprise established?**

- <sup>220</sup> 1 **1** Directly by the foreign parent. (See EXAMPLE 1 on page 4) – *SKIP to 25*
- 1 **2** By existing U.S. affiliates of the foreign parent. (See EXAMPLE 2 on page 4)
- 1 **3** Both directly by the foreign parent and by existing U.S. affiliates of the foreign parent.

In the chart below, answer the following questions:

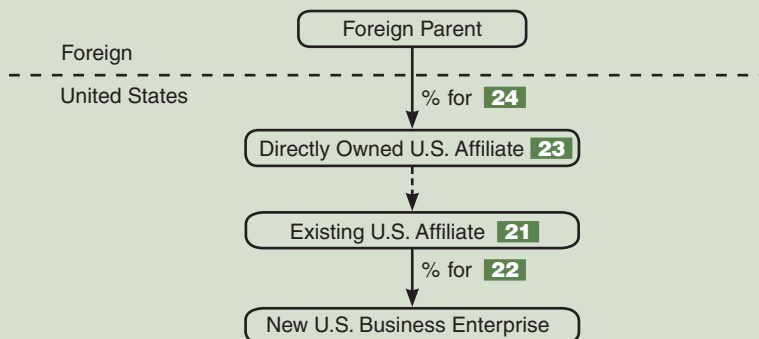
- 21** What are the names and addresses of the existing U.S. affiliates through which the new U.S. business enterprise was established?
- 22** What is the percent of voting interest, to a tenth of one percent, in the new U.S. business enterprise held directly by each existing U.S. affiliate listed in **21**?
- 23** For each existing U.S. affiliate listed in **21**, what is the name and address of the existing U.S. affiliate in the same ownership chain that is **DIRECTLY** owned by this foreign parent? (See EXAMPLE 5) If **23** is the same as **21**, write "same" for **23**.
- 24** What is the percent of voting interest, to a tenth of one percent, held by this foreign parent in each directly-owned U.S. affiliate?

<b>21</b>				<b>22</b>	<b>23</b>				<b>24</b>
Name and Address of Existing U.S. Affiliate				Voting Interest Held by <b>21</b> in the New U.S. Business Enterprise	Name and Address of U.S. Affiliate Directly-owned by This Foreign Parent				Voting Interest Held by This Foreign Parent in <b>23</b>
251	Name 1			2 _____ . ____ %	Name 3			4 _____ . ____ %	
252	Street 1 1				Street 1 2				
253	Street 2 1				Street 2 2				
254	City 1	State 2	Zip 3		City 4	State 5	Zip 6		
255	Name 1			2 _____ . ____ %	Name 3			4 _____ . ____ %	
256	Street 1 1				Street 1 2				
257	Street 2 1				Street 2 2				
258	City 1	State 2	Zip 3		City 4	State 5	Zip 6		

List additional entries on a separate page, if necessary.

**Please provide an organizational chart if there are any U.S. businesses in the ownership chain between an existing U.S. affiliate and its corresponding directly-owned U.S. affiliate.**

**EXAMPLE 5.**  
Existing U.S. Affiliate That Established the New U.S. Business Enterprise is Not Owned Directly by This Foreign Parent



**ESTABLISHMENT INFORMATION**

**25** What is the total expected cost to this foreign parent's affiliated foreign group (see EXAMPLE 6) and its U.S. affiliates to establish the new U.S. business enterprise. Equals sum of **26** and **27** . . . . . 320

\$	Bil.	Mil.	Thous.	Dols.
1				000

**INCLUDE:**

- Actual expenditures from past years and expected expenditures for current and future years.
- The cost of voting and non-voting equity interests.

**EXCLUDE:**

- The cost to any U.S. entity that is not affiliated with this foreign parent.

**26** What portion of the cost reported in **25** was provided by each of this foreign parent's U.S. affiliates?  
List additional entries on a separate page, if necessary.

	Name of U.S. Affiliate	\$	Bil.	Mil.	Thous.	Dols.
351	1	2				000
352	1	2				000
353	1	2				000
354	1	2				000

**27** What portion of the cost reported in **25** was provided by the affiliated foreign group?  
Include funds they borrowed in the United States and abroad. Equals sum of **28** and **29** . . . . . 371

1	000
1	000

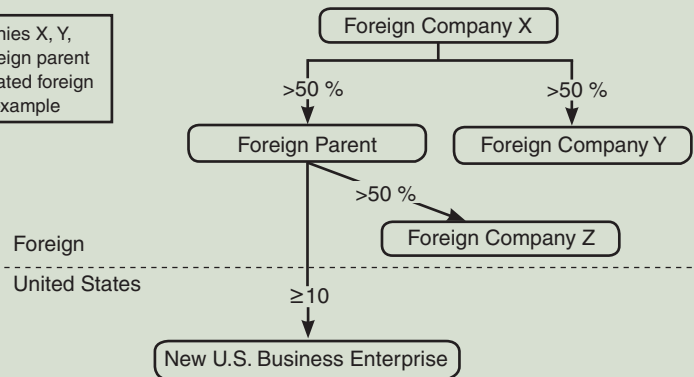
**28** What portion of **27** was in the form of equity? . . . . . 372

1	000
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**29** What portion of **27** was in the form of debt? . . . . . 373

**EXAMPLE 6. Affiliated Foreign Group**

Foreign companies X, Y, and Z and the foreign parent comprise the affiliated foreign group in this example



**Affiliated Foreign Group** means (i) the foreign parent, (ii) any foreign person, proceeding up the foreign parent's ownership chain, which owns more than 50 percent of the person below it, up to and including that person which is not owned more than 50 percent by another foreign person, and (iii) any foreign person, proceeding down the ownership chain(s) of each of these members, which is owned more than 50 percent by the person above it. ("Person" is used in the broad legal sense and includes companies.)

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