

# BE-13D – Identifying Foreign Parent Number    of    and Its Ultimate Beneficial Owner

Month    Day    Year

1  
   /    /   

**1** What date did the expansion project begin? ..... 101

**NOTE:** If there is more than one foreign parent (direct and/or indirect) complete **2** through **8** for each additional foreign parent on a separate page. Additional copies of this page may be downloaded from [www.bea.gov/fdi](http://www.bea.gov/fdi).

## IDENTIFYING THE FOREIGN PARENT

**Foreign parent** is the FIRST entity outside the United States, proceeding up a chain of ownership, that has a 10 percent or more **voting** interest in the U.S. affiliate. (See EXAMPLES 1 and 2)

**2** What is the name of this foreign parent holding a direct or indirect voting interest in the expanding U.S. affiliate?

If the foreign parent is an individual, write "individual" instead of the individual's name.

201 Name  
1

**3** What is the country of incorporation of this foreign parent?

Country of Foreign Parent

If the foreign parent is an individual or government, provide the country of residence.

1  
202

**4** What is the industry code of this foreign parent? Select the category below which best describes the PRIMARY activity of the SINGLE entity named as the foreign parent. DO NOT base the code on the worldwide sales of all consolidated subsidiaries of the foreign parent.

203 1

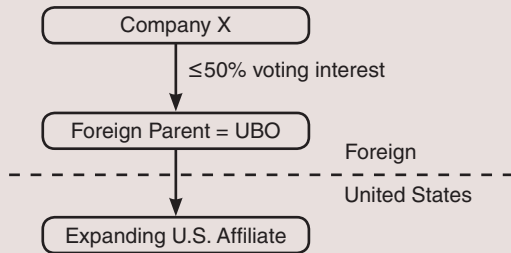
## IDENTIFYING THE ULTIMATE BENEFICIAL OWNER (UBO)

**5** Is this foreign parent also the ultimate beneficial owner (UBO)? (See EXAMPLES 1 and 2)

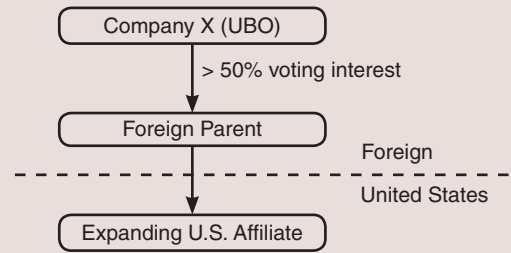
- The UBO is the entity, proceeding up the ownership chain, which is not more than 50 percent owned or controlled by another entity.
- If the foreign parent is owned or controlled more than 50 percent by another entity, then the foreign parent is NOT the UBO.

210 1 1 Yes — **SKIP to 8**  
 1 2 No

**EXAMPLE 1.**  
Foreign Parent is UBO



**EXAMPLE 2.**  
Foreign Parent is Not UBO



**6** What is the name of the ultimate beneficial owner?

If the UBO is an individual, write "individual" instead of the individual's name.

211 Name  
1

**7** What is the country of incorporation of the ultimate beneficial owner?

Country of UBO

If the UBO is an individual or government, provide the country of residence. ....

1  
212

**8** What is the industry code of the ultimate beneficial owner?

Select the category below best reflects the consolidated worldwide sales of the UBO, including all majority-owned subsidiaries.

213 1