



# **Focus Area 2:** **Governance, Transparency, and Accountability**

**Charles Cutshall and Julia Lane, ACDEB Members**

May 2021

# Overview of Proposed Focus Area Scope

---

## Governance

---

- Organizational Structure: External Board of Directors and Advisory Committees
- Establish value: Chartered to address emerging local, regional & national needs
- Protect data: Chartered to support the information lifecycle while being responsive to both data stewards and data users
  - Data security (Confidentiality, Integrity, & Availability);
  - Data Privacy (FIPPs);
  - Five Safes (Safe projects, Safe people, Safe settings, Safe data, Safe outputs)

# Overview of Proposed Focus Area Scope

---

## Transparency

- Ensure openness: Conduct business openly and transparently, maintaining a searchable inventory of approved projects using confidential data (CEP, Rec. 4-3)
- Document value: Report dataset value and use by using automated tools for search and discovery (Kaggle)
- Increase awareness: Make federal data assets that could be leveraged for evidence building visible, such as information collections and systems of records (SORN Dashboard)

# Overview of Proposed Focus Area Scope

---

## Accountability

- Establish: External privacy audits/safeguard reviews
- Report: Establish reporting protocols
- Validate: Establish externally validated measures of value creation and privacy protection
- Control Quality: Ensure all work is replicable, reproducible, auditable and transferrable

# Overview of Proposed Focus Area Scope

---

## Sustainability

- Diversify: Establish funding from multiple sources to ensure responsiveness to federal, state and local needs;
- Invest: Ensure infrastructure stability by maintain line funding for core infrastructure (stage gate approach)
- Renew: Pre-empt monopoly by establishing five-year mid-term review, ten-year sunset option

# Overview of Proposed Focus Area Scope

---

## Innovate

---

- Use common platform as innovation sandbox for researchers, state and local government agencies, private sector
- Protect privacy: Evaluate and test new privacy protection protocols
- Build capacity: Support and extend joint university-agency training programs for continuous learning
- Develop foundations: Develop and test common data models and measures
- Generate new ideas: Create value around common federal, state and local needs
- Develop products: Test and evaluate new approaches and policy interventions

# Initial Low-Hanging Fruit

---

## Potential Quick Wins

- Build on initial use cases of clear value: e.g.
  - Education and workforce (DofEd and DofLabor in conjunction with state and local agencies)
  - Urban/Rural Divide (USDA and Commerce in conjunction with state and local agencies)
  - Climate change (EPA, NOAA, NSF in conjunction with state and local agencies)
  - Health – pandemics/opioids/Alzheimers (HHS, NSF, DOJ in conjunction with state and local agencies)
  - Cross cutting theme of social justice
- Establish a tiered access approach to privacy
- Establish standards for searchability and discoverability, access and use of reports (Transparency Portal)
- Establish standardized agreements to streamline and codify transparent and agreed-upon data protections for data sharing
- Establish the NSDS as an innovation sandbox/common platform for the development of new products and using those to drive common data models and measures.

# Committee Discussion

---

## Discussion Questions

- *Examples of successful governance structures*
- *Additional ways to ensure accountability*
- *Suggestions for priorities*
- *Ideas for use cases*