

## FOREIGN AIRLINE OPERATORS' REVENUES AND EXPENSES IN THE UNITED STATES

(This report is mandatory and confidential. See instructions.)

Name of airline				
Attention				
Street				
City		State		Zip Code
Report for quarter ending:				

File this form electronically at [www.bea.gov/efile](http://www.bea.gov/efile) or email to [BE-9submission@bea.gov](mailto:BE-9submission@bea.gov) or see the General Instructions.

Item no.	Item	Amounts
		Report in thousands of U.S. dollars (i.e; \$157,450.00 should be reported as 157)
1	Freight revenue on merchandise exported from, and imported into, the United States	\$
2	Shipping weights on which the freight revenues reported in item 1 were earned	Pounds
3	Expenses incurred in the United States TOTAL (sum of 3a. through 3e.)	\$
	a. Fuel and oil	\$
	b. Wages and salaries paid to employees in the United States	\$
	c. Agents' and brokers' fees and commissions for arrangement of freight and passenger transportation	\$
	d. Aircraft handling and terminal services	\$
	e. All other expenses	\$
4	Aircraft leasing expenses (with crew only)	\$
5	Number of passengers transported to/from the United States	#
6	Revenues from transporting passengers to/from the United States	\$

<b>Person to consult concerning questions about this report</b>		<b>Certification – The undersigned official certifies that this report has been prepared in accordance with the applicable instructions and is complete.</b>		
Name				
Title				
Telephone number				
Fax number				
Email Address				
<b>EXEMPTION CLAIM - Mark (x) one</b>		Authorized official's signature  _____		
<input type="checkbox"/>	Not in existence during the reporting period	Print or type name and title  _____		
<input type="checkbox"/>	Did not have transactions related to the survey during the reporting period			Date
<input type="checkbox"/>	Had transactions related to the survey during the reporting period, but they were below the mandatory filing threshold			

## General Instructions

Public reporting burden for this BE-9 report is estimated to average 6 hours per response. This burden includes time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate to Director, Bureau of Economic Analysis (BE-1), U.S. Department of Commerce, Washington, DC 20230 and to the Office of Management and Budget, Paperwork Reduction Project 0608-0068, Washington, DC 20503.

**Purpose** – Reports are required to obtain quarterly data for use in estimating the international transactions accounts of the United States.

**Mandatory Reporting** – A U.S. person is required to report if total covered revenues or total covered expenses incurred in the United States were \$5,000,000 or more during the previous year or are expected to be \$5,000,000 or more during the current year.

**Exemptions** – A U.S. person that receives this form from BEA but is not required to report data on a mandatory basis, or was not in existence during the reporting period, must complete the exemption claim box located in the lower left corner of the form.

**Authority** – This survey is being conducted under the authority of the International Investment and Trade in Services Survey Act (P.L. 94-472, 90 Stat. 2059, 22 U.S.C. 3101-3108, as amended -- hereinafter "the Act"), and the filing of reports is mandatory under Section 5(b)(2) of the Act (22 U.S.C. 3104). Regulations for the survey can be found in 15 CFR Part 801.

**Penalties** – Whoever fails to report may be subject to a civil penalty of not less than \$2,500, and not more than \$25,000, and to injunctive relief commanding such person to comply, or both. These civil penalties are subject to inflationary adjustments. Those adjustments are found in 15 CFR 6.4. Whoever willfully fails to report shall be fined not more than \$10,000 and, if an individual, may be imprisoned for not more than one year, or both. Any officer, director, employee, or agent of any corporation who knowingly participates in such violations, upon conviction, may be punished by a like fine, imprisonment, or both. (See 22 U.S.C. 3105.) Notwithstanding the above, a U.S. person is not subject to any penalty for failure to report if a valid Office of Management and Budget (OMB) control number is not displayed on the form; such a number (0608-0068) is displayed at the top of the first page of this form.

**Confidentiality** – The Act provides that your report to this Bureau is CONFIDENTIAL and can be used only for analytical or statistical purposes. Without your prior written permission, the information filed in your report CANNOT be presented in a manner that allows it to be individually identified. Your report CANNOT be used for purposes of taxation, investigation, or regulation. Copies retained in your files are immune from legal process.

**Who must report** – Reports are required from U.S. offices, agents, or other representatives of foreign airline operators that transport passengers or freight and express to or from the United States.

**How to report** – Use this form to report information in accordance with the instructions and the definitions that are given here. Report revenues and expenses in thousands of U.S. dollars (for example, \$10,000,000.00 should be reported as \$10,000).

**Where to send report** – File this form electronically at [www.bea.gov/efile](http://www.bea.gov/efile) or email to [BE-9submission@bea.gov](mailto:BE-9submission@bea.gov). In addition, you may mail the reports to U.S. Department of Commerce, Bureau of Economic Analysis, BE-50 (SSB), Washington, DC 20230.

**Frequency** – A separate report must be completed for each calendar quarter and filed with within 45 days after the end of the quarter.

**Assistance** – For assistance in filing this report, call (202) 606-5588 Monday to Friday from 8:30 a.m. to 5:00 p.m. eastern time.

**United States** – Includes the 50 states, the District of Columbia, Puerto Rico, and U.S. possessions and territories.

**Foreign countries** – Consists of all other countries and areas.

## Specific Instructions

**Item 1** – Report revenue (whether collect or prepaid, in U.S. dollars and other currencies converted to U.S. dollars) from the carriage of freight and express to and from the United States only. Passenger revenues should not be reported in item 1. Revenue from transporting passengers should be reported in item 6.

**Item 2** – Report cargo shipping weights (in pounds) on which freight revenue reported in item 1 was earned.

**Item 3** – Report all the expenses that were incurred in the United States and that were associated with your carriage of both inbound and outbound freight and passengers.

**Item 3a, 3b, and 3c** – Self-explanatory.

**Item 3d** – Please include expenses for aircraft repair, maintenance, storage, and cleaning; handling services for freight and passengers; and other airport terminal services. Aircraft modification and factory-type aircraft overhauls should be included in item 3e.

**Item 3e** – Please include all other expenses, such as port and landing fees; air traffic control services paid to the U.S. Government; aircraft modification and factory-type aircraft overhauls; and costs incurred in the operation of general headquarters, divisional offices, airline ticket offices, and all other costs for operation of freight and passenger facilities located in the United States. These costs should cover, for example, catering, crew expenses (hotel and per diem), rent, utilities, legal fees, telephone and other communications equipment, and rental of tangible property except aircraft.

Exclude payments to companies in the United States for fuel and oil loaded on aircraft in foreign countries.

Exclude the following: administrative expenses charged to U.S. operations but not actually incurred in the United States; capital charges and transfers, such as payment of interest or principal on loans; depreciation on aircraft and ground equipment; and payments for purchases exported from the United States (such as aircraft parts and complete aircraft) for which Shippers Export Declarations were filed with the U.S. Customs and Border Protection.

**Item 4** – For aircraft leased from U.S. persons, report rental expenses for with-crew operating leases. Do not include expenses for aircraft leased without crew (operated by you). Do not include financial-type leases. A lease is classified as a financial lease if there is intent to eventually take possession of the good. If the intent is only to rent the good for a limited period, this is classified as an operating lease, and should be reported on BEA's BE-120 and BE-125 surveys (see <http://www.bea.gov/surveys/iussurv.htm>). Rentals of other tangible property should be included in item 3e.

**Item 5** – Report the number of passengers transported to and from the United States during the reporting period.

**Item 6** – Report the revenue derived from transporting the passengers reported in item 5. Please include all ancillary revenues, such as revenues derived from baggage fees, rebooking fees, in-flight purchases of food and beverages, and all other ancillary revenues.